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<b>(21) International Application Number:</b> PCT/FI91/00103 <b>(22) International Filing Date:</b> 8 April 1991 (08.04.91)  <b>(30) Priority data:</b> 901771 6 April 1990 (06.04.90) FI  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US 527,775 (CIP) Filed on 24 May 1990 (24.05.90)  <b>(71) Applicant (for all designated States except US):</b> VALTION TEKNIILLINEN TUTKIMUSKESKUS [FI/FI]; Vuori- miehentie 5, SF-02150 Espoo (FI).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> HALLBORN, Johan [SE/SE]; Vildandsvägen 2 U : 304, S-222 34 Lund (SE). PENTTILÄ, Merja [FI/FI]; Vanha Hämeenkylläntie 5-7 A 7, SF-00390 Helsinki (FI). OJAMO, Heikki [FI/FI]; Kirjurinkuja 3 D 25, SF-02600 Espoo (FI). WALFRIDS- SON, Mats [SE/SE]; Ällingavägen 9 A : 504, S-222 34 Lund (SE). Airaksinen, Ulla [FI/FI]; Lehdokkitie 8 B 26, SF-01300 Vantaa (FI). KERÄNEN, Sirkka [FI/FI]; Ra- hakamarinkatu 4 B 12, SF-00240 Helsinki (FI). HAHN- HÄGERDAL, Bärbel [SE/SE]; Östra Mårtensgatan 5, S-223 61 Lund (SE).		<b>(74) Agent:</b> OY JALO ANT-WUORINEN AB; Laivuririnne 2 A, SF-00120 Helsinki (FI).  <b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), CA, CH (European patent), DE (Euro- pean patent), DK (European patent), ES (European pa- tent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), NO, SE (Eu- ropean patent), US.  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> RECOMBINANT YEASTS CONTAINING THE DNA SEQUENCES CODING FOR XYLOSE REDUCTASE- AND XYLITOL DEHYDROGENASE ENZYMES		
<b>(57) Abstract</b>  This invention relates to recombinant-DNA-technology. Specifically this invention relates to new recombinant yeast strains transformed with xylose reductase and/or xylitol dehydrogenase enzyme genes. A yeast strain transformed with the xylose reductase gene is capable of reducing xylose to xylitol and consequently of producing xylitol <i>in vivo</i> . If both of these genes are trans- formed into a yeast strain, the resultant strain is capable of producing ethanol on xylose containing medium during fermentation. Further, the said new yeast strains are capable of expressing the said two enzymes. Xylose reductase produced by these strains can be used in an enzymatic process for the production of xylitol <i>in vitro</i> .		

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RECOMBINANT YEASTS CONTAINING THE DNA SEQUENCES CODING FOR XYLOSE  
REDUCTASE- AND XYLITOL DEHYDROGENASE ENZYMES.

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Field of the invention

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This invention relates to recombinant-DNA-technology. Specifically this invention relates to new recombinant yeast strains transformed with xylose reductase and/or xylitol dehydrogenase enzyme genes. A yeast strain transformed with the xylose reductase gene is capable of reducing xylose to xylitol and consequently of producing xylitol *in vivo*. If both of these genes are transformed into a yeast strain, the resultant strain is capable of producing ethanol on xylose containing medium during fermentation.

Further, the said new yeast strains are capable of expressing the said two enzymes. Xylose reductase produced by these strains can be used in an enzymatic process for the production of xylitol *in vitro*.

Background of the invention

20 Xylose utilization

Xylose appears in great abundance in nature. It can constitute as much as 40 % of a lignocellulosic material (Ladisich *et al.*, 1983). By fermentation xylose can be converted to ethanol which can be used as a liquid fuel or a chemical feedstock. Enzymatically or as a by-product of fermentation xylose can also be converted to xylitol which is a promising natural sweetener having dental caries reducing properties. Xylitol can also be used by diabetics. For the production of ethanol which is a cheap product it is important that the raw material can be fermented directly with as little pretreatment as possible. For the production of xylitol which is meant for human consumption it is important that the process involves GRAS organisms.

Natural xylose utilizors are found among bacteria, yeast and fungi. In all organisms xylose is converted to xylulose which is phosphorylated to xylulose-5-phosphate (X5P) with xylulokinase. X5P then enters the Embden-Meyerhof pathway (glycolysis) via the pentose phosphate shunt.

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Bacteria like *Escherichia coli*, *Bacillus* sp., *Streptomyces* sp. and *Actinoplanes* sp. convert xylose directly to xylulose with a xylose isomerase (XI). Thus bacteria do not produce xylitol as an intermediate during xylose utilization. Those which ferment  
5 xylose to ethanol do so with poor yields because a number of by-products are also produced (Skoog and Hahn-Hägerdal, 1988). In xylose utilizing yeasts such as *Pichia stipitis*, *Candida shehatae* and *Pachysolen tannophilus* this reaction occurs in two steps: first xylose is reduced to xylitol with a xylose reductase (XR) and the xylitol is oxidized with a xylitol dehydrogenase (XDH) to xylulose.

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Pure xylose solutions are fermented with high yields and good productivities by xylose utilizing yeasts such as *P. stipitis*, *C. shehatae* and *P. tannophilus* (Slininger *et al.*, 1987; Prior *et al.*, 1989). However, they do not generally survive in the hostile environment of an untreated raw material such as eg. spent sulphite liquor or  
15 hydrogen fluoride-pretreated and acid-hydrolyzed wheat straw (Lindén and Hahn-Hägerdal, 1989). The one exception, *P. tannophilus*, produces mainly xylitol and glycerol in response to this environment. In order to efficiently ferment such raw materials with the xylose utilizing yeasts such as *P. stipitis*, *C. shehatae* and *P. tannophilus* the raw material has to undergo expensive pretreatments with ion-  
20 exchange resins (Clark and Mackie, 1984) or steam stripping (Yu *et al.*, 1987).

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*Saccharomyces cerevisiae*, bakers' yeast, ferments spent sulphite liquor or hydrogen fluoride-pretreated and acid-hydrolyzed wheat straw to ethanol (Lindén and Hahn-Hägerdal, 1989). *S. cerevisiae* cannot utilize xylose efficiently and cannot grow on  
25 xylose as a sole carbon source. In the presence of the bacterial enzyme xylose isomerase, which converts xylose to xylulose, *S. cerevisiae* can, however, ferment both pure xylose solutions (Hahn-Hägerdal *et al.*, 1986) and untreated raw materials (Lindén and Hahn-Hägerdal, 1989a,b) to ethanol with yields and productivities that are in the same order of magnitude as those obtained in hexose fermentations. Similar  
30 results have been obtained with *Schizosaccharomyces pombe* (Lastick *et al.*, 1989). Thus, both *S. cerevisiae* and *Sch. pombe* have a functioning xylulokinase enzyme. It has also been found that *S. cerevisiae* can take up xylose (Batt *et al.*, 1986; van Zyl *et al.*, 1989; Senac and Hahn-Hägerdal, 1990).

Gong (1985) discloses a process for obtaining ethanol directly from D-xylose by xylose fermenting yeast mutants. According to Gong a parent yeast strain is selected (e.g. *Candida* sp. or *Saccharomyces cerevisiae*), which originally may have the ability to utilize D-xylose, and this parent strain is then exposed e.g. to UV-radiation so as to induce mutation. However, no information about the reason why the mutants obtained are able to utilize xylose, is given in the reference. Further, Gong did not introduce any new coding and/or regulatory sequences to said strains by genetic engineering techniques to enhance xylose fermentation.

Xylitol is industrially manufactured at the moment by chemical reduction of hemicellulose hydrolysates. Poisoning of the expensive catalyst used in the reduction step and formation of side-products difficult to be separated from the end product are the main problems in this process.

In literature there are numerous examples of microbiological methods to produce xylitol from pure xylose (eg. Onishi and Suzuki, 1966; Barbosa *et al.*, 1988). Best producers in this method are yeasts especially belonging to the *Candida*-genera. Also some bacteria such as *Enterobacter* (Yoshitake *et al.*, 1973a) and *Corynebacterium* species (Yoshitake *et al.*, 1973b) and some molds eg. *Penicillium chrysogenum* (Chiang and Knight, 1960) produce xylitol from pure xylose.

In a microbiological method describing the best yields of xylitol production (Ojamo *et al.*, 1987) *Candida guilliermondii* yeast is cultivated under strictly controlled aeration in a xylose containing medium either as a batch or a fed-batch process. Xylitol yields 50-65 % were obtained. The yield could be increased to 76 % by adding furfuraldehyde to the cultivation medium.

Cell-free extracts from *Candida pelliculosa* (xylose reductase) and *Methanobacterium* sp. (hydrogenase,  $F_{420}$ , NADP,  $F_{420}$ /NADP oxidoreductase) has been used to produce xylitol in a membrane reactor with 90 % conversion (Kitpreechavanich, 1985). With a cell-free extract from a *Corynebacterium* species 69 % conversion has been obtained when 6-phosphogluconate was used for regeneration of the cofactor.

It has been shown that glucose dehydrogenase from *B. megaterium* has suitable

properties as a NADPH regenerating enzyme (Kulbe *et al.*, 1987). Thus gluconic acid from glucose can be produced simultaneously with xylitol in the enzymatic process. For the retention of the enzymes and the cofactor one can use ultrafiltration membranes. Cofactor retention may be achieved by the use of a derivatized cofactor having  
5 high enough molecular weight for the retention (Kulbe *et al.*, 1987) or better by using negatively charged ultrafiltration membranes (Kulbe *et al.*, 1989).

Attraction to use an enzymatic method is based on the possibility to use impure xylose containing raw materials which in the microbiological methods would inhibit  
10 the metabolism of the microbe used. Also the yields of xylitol are higher than in the microbiological methods with natural strains. On the other hand any microbiological method is more simple in large-scale practice at the moment.

The natural xylose utilizing yeasts such as *P. stipitis*, *Candida* sp. and *P. tannophilus*  
15 are not suitable for the production of either ethanol or xylitol for several reasons. The fermentation to ethanol requires pretreatment of the raw material which is cost-prohibitive for a cheap end-product such as ethanol. These species also lack the GRAS-status. Thus xylose utilization would most suitably be based on the use of bakers' yeast which has a GRAS-status.

20

In order to make *S. cerevisiae* an efficient xylose utilizer for the production of xylitol and ethanol an efficient enzyme system for the conversion of xylose to xylitol and xylulose should be introduced into this yeast. For the production of ethanol from xylose the XI genes from *E. coli* (Sarthy *et al.*, 1987), *B. subtilis* and *Actinoplanes*  
25 *missouriensis* (Amore *et al.*, 1989) have been cloned and transformed into *S. cerevisiae*. The XI protein made in *S. cerevisiae* had very low (1/1000 of the enzyme produced in bacteria) or no enzymatic activity. Thus, for some reasons the bacterial enzyme can not be made functional in yeast. Another possibility would be to transfer into *S. cerevisiae* the genes encoding XR and XDH from another yeast. The enzymes  
30 of *P. stipitis* should be good candidates in the light of the efficient utilization of pure xylose solutions discussed above. It can be anticipated that enzymes from another yeast would function better than bacterial enzymes when expressed in yeast. In addition, xylitol and ethanol could be produced with the same system and the system would combine the good xylose utilization of *P. stipitis* with resistance to inhibitors

and general acceptance of *S. cerevisiae*.

#### Summary of the invention

- 5    The present invention describes the isolation of genes coding for xylose reductase (XR) and xylitol dehydrogenase (XDH) from certain yeasts having these genes, the characterization of the genes and their transfer into, and their expression in *Saccharomyces cerevisiae*.
- 10   This invention thus provides new recombinant yeast strains expressing xylose reductase and/or xylitol dehydrogenase enzymes.

The yeast strains according to the invention being transformed with the XR gene are capable of reducing xylose to xylitol *in vivo*. Xylose reductase produced by the new

15   yeast strains according to the invention is also used in an enzymatic process for the production of xylitol *in vitro*.

The present invention further provides new yeast strains transformed with both of the above mentioned two genes. The coexpression of these genes renders the strain

20   capable of fermenting xylose to ethanol from pure xylose solution or xylose containing solutions such as lignocellulosic hydrolyzates.

#### Brief description of the drawings

- 25   Fig. 1 shows the xylose reductase gene integrated into pMA91 and pRS305 resulting in plasmids pUA103 and pUA107, respectively.

Fig. 2   Activity plate assay of a XDH positive  $\lambda$  gt11 clone

- 30   Fig. 3 shows the picture of the plasmid pJHDXDH50 carrying the *xdh* gene.

Fig. 4 shows a plate activity assay of a recombinant *S. cerevisiae* strain VTT-C-91181 producing XDH, on the original transformation plate (A) and as single colonies obtained from this transformant (B).

Fig. 5 shows the xylose reductase expression cassette flanked by ribosomal sequences integrated into BS+, generating the vector pJHXR22.

- 5     Fig. 6 shows Western analysis of XR produced in *S. cerevisiae* from the following plasmids and from *P. stipitis*. Lanes: 1 molecular weight standards (LMW, Pharmacia); 2 pRS305; 3 pUA107; 4 empty lane; 5 pMA91; 6 pUA103; 7 purified XR enzyme; 8 LMW; 9 *Pichia stipitis*. Samples were taken from French pressed cell lysates for lanes 2, 3, 5, 6 and 9.

10

Fig. 7 shows XR activity of *S. cerevisiae* strains transformed with pUA103 and pUA107 using as a cofactor either NADH or NADPH, and of the control strains carrying the vector pRS305 or pMA91.

- 15     Fig. 8 shows the xylitol dehydrogenase gene integrated into pKB102 generating plasmid pJHDXH60.

#### Detailed description of the invention

- 20     Xylose reductase (*xrd*) and xylitol dehydrogenase (*xdh*) genes to be used in this invention are isolated from a yeast containing these genes, eg. *Pichia stipitis*. Also other suitable yeasts and other fungi, such as *Pachysolen tannophilus*, *Kluyveromyces* spp., *Petromyces albertensis* and *Candida* spp. can be used.
- 25     The yeast to be transformed with these genes can be any suitable yeast, for xylitol production preferably having the GRAS-status, eg. any *Saccharomyces cerevisiae* yeast strain, (eg. DBY746, AH22, S150-2B, GPY55-15B $\alpha$ , VTT-A-63015, VTT-A-85068, VTT-C-79093), any *Kluyveromyces* sp. or *Schizosaccharomyces pombe*. Transfer of the genes into these yeasts can be achieved, for instance, by using the
- 30     conventional methods described for these organisms. It is to be noticed that, if wanted, also *Pichia* itself can be transformed with these genes in order to obtain increased or modulated expression of the genes. *Saccharomyces cerevisiae* strains are preferable for the purposes of this invention.



The DNA sequence coding for XR enzyme is isolated from *P. stipitis* by conventional methods. In the preferred embodiment, cDNA is synthesized from mRNA and cloned into  $\lambda$  gt11 vector. Using immuno screening with XR specific antibodies, the positive clone is isolated and subcloned into BS+ vector for sequencing. Gene encoding XR of *P. stipitis* can be cloned also by expression in *S. cerevisiae* because it does not contain any introns. Another possibility is the use of oligonucleotides, designed on the basis of the amino acid sequence of the enzyme, in hybridization of a gene bank.

10 To construct a plasmid suitable for transformation into a yeast, the gene coding for XR is cloned into a suitable yeast expression vector, such as pMA91 (Mellor *et al.*, 1983), comprising the appropriate yeast regulatory regions. These regulatory regions can be obtained from yeast genes such as the *PGK1*, *ADH1*, *GAL1*, *GAL10*, *CUP1*, *GAP*, *CYC1*, *PHO5*, for instance. Alternatively, also the regulatory regions of the  
15 *Pichia* gene encoding XR can be used to express the gene in *S. cerevisiae*. The plasmid carrying the *xrd* gene encoding XR is capable of replicating autonomously when transformed into the recipient yeast strain. The gene encoding XR together with the appropriate yeast regulatory regions can also be cloned into a single copy yeast vector such as pRS305 (Sikorski and Hieter, 1989).

20 Alternatively, the gene coding for XR can also be integrated into the yeast chromosome, into the ribosomal RNA locus, for instance. For this purpose the ribosomal sequences of a suitable plasmid, eg. plasmid pIRL9 are released, and cloned appropriately to BS+ vector. The gene coding for XR, coupled in between suitable yeast  
25 promoter and terminator regions, is released from the hybrid vector comprising the gene and cloned into the plasmid obtained at the previous stage. From this resulting plasmid the expression cassette, flanked by ribosomal sequences can be released. This fragment is cotransformed into a yeast with an autonomously replicating plasmid carrying a suitable marker for transformation. The plasmid can be later on removed  
30 from the cells containing the *xrd* gene integrated in the chromosome by cultivating the cells in non-selective conditions. This way, recombinant strains can be obtained which carry no extra foreign DNA such as bacterial vector sequences. If a polyploid yeast strain, such as VTT-A-63015, is used the gene can be integrated also to an essential locus such as the *PGK1* or the *ADH1* locus.

An object of this invention is thus to provide the specific xylose reductase gene. The sequence of the *xrd* gene can be determined from the plasmids carrying it by using eg. the double stranded dideoxy nucleotide sequencing method (Zagursky *et al.*,  
5 1986). The sequence of the *xrd* gene encoding XR of *P. stipitis* is given as the SEQ ID NO. 2.

Another object of this invention is to provide specific yeast vectors comprising the *xrd* gene. Such a vector is either an autonomously replicating multicopy or a single  
10 copy plasmid or a vector capable of integrating into the yeast chromosome, as described above.

Still another object of this invention is to provide yeast strains which comprise the DNA sequence coding for XR and are capable of expressing this enzyme.  
15

Thus a process for producing xylose reductase enzyme is also provided. This process comprises:

- (a) isolating the DNA sequence coding for xylose reductase from a suitable donor organism;
- 20 (b) constructing a yeast vector carrying said DNA sequence;
- (c) transforming the vector obtained into a suitable yeast host to obtain a recombinant host strain;
- (d) cultivating said recombinant host strain under conditions permitting expression of said xylose reductase; and
- 25 (e) recovering said xylose reductase.

Enzymes from organisms having a GRAS status are preferred in an enzymatic production of xylitol. Xylose reductase from *Pichia stipitis* have excellent enzymatical properties such as kinetic constants and stability without special stabilizing agents  
30 such as thiol-protecting chemicals. Production of this enzyme is now possible in a yeast having a GRAS status. The transformed yeast cells are cultivated in an appropriate medium. As the cultivation medium, a cheap medium such as one based on molasses can be used as xylose is not needed for induction as in naturally xylose utilizing yeasts. Yeast with intracellular xylose reductase is produced with a good

yield in a fed-batch process.

The yeast is concentrated and washed by g. centrifugation and xylose reductase is liberated into the solution by cell disruption methods such as high pressure homogenization or glass bead milling. After clarification of the homogenate by filtration or centrifugation xylose reductase is further purified by chromatographic methods.

For the production of xylitol *in vitro* a crude homogenate or purified xylose reductase is used in an enzyme reactor together with a cofactor (NAD/NADH or NADP/-NADPH) and a cofactor regenerating enzyme such as glucose dehydrogenase, formate dehydrogenase or any other enzyme having good enough stability, requirements for environmental conditions coping with those of xylose reductase and suitable kinetic properties (Bückmann, 1979; Wandrey *et al.*, 1981; 1982; Kulbe *et al.*, 1989). The enzymes and the cofactor are typically kept in the reactor system by ultrafiltration membranes especially those with a negative charge. The cofactors may also be coimmobilized with the cofactor regenerating enzymes (Reslow *et al.*, 1988). The reaction mixture is pumped through the reactor and the substrates and the products are filtered through the membrane. The products can be separated from the substrates by eg. chromatographic or crystallization methods and the substrates can be recycled to the reaction mixture.

Further, this invention provides a microbiological process for producing xylitol which process comprises:

- (a) cultivating a recombinant yeast strain carrying a DNA sequence coding for xylose reductase enzyme in xylose containing medium; and
- (b) recovering the xylitol formed in the medium.

In a microbiological xylitol production with a recombinant yeast the *in vivo* regeneration of the cofactor NADPH or NADH must be secured in one way or another. With a yeast construction having only xylose reductase and not xylitol dehydrogenase gene, cofactor regeneration can be achieved by adding a co-carbon-substrate such as glucose, glycerol, ribose or ethanol. With such a system, 95-100 % yield of xylitol from xylose can be obtained. In this system with *S. cerevisiae*, xylitol is not metabolized further and consequently higher yields of xylitol can be obtained than with

natural xylitol producing organisms. When the yeast has also xylitol dehydrogenase gene (see hereunder) cofactor regeneration may happen through a slight flow of xylitol further in the metabolism. This flow can be controlled by relative amounts of expression of the enzymes xylose reductase and xylitol dehydrogenase or by controlling the metabolism of the yeast by oxygen transfer rate or by adding enzyme inhibitors such as iodoacetate to the cultivation medium.

In the preferred embodiment, for the isolation of the *xdh* gene of *P. stipitis* a chromosomal gene bank is first made into *E. coli* in a cosmid p3030 (Penttilä, *et al.*, 1984) or in another yeast vector, and recombinant plasmids are isolated and transformed into yeast. The *xdh* gene can be found by its expression in yeast, which can be detected by an activity plate assay. A cDNA copy for this gene can be isolated similarly by an activity plate assay from a  $\lambda$  gt11 cDNA expression library made in *E. coli*.

An alternative method for the isolation of the *xdh* gene from *P. stipitis* is to purify XDH from a donor yeast by chromatographic methods and determine the N-terminal amino acid sequence thereof. A mixture of oligonucleotides based on the obtained N-terminal amino acid sequence of XDH protein can be designed. This oligonucleotide mixture can then be used in hybridization of a gene bank, or together with an oligo-dT-primer to amplify by PCR reaction *xdh* specific sequences from a mRNA population. The resulting gene or cDNA is cloned into BS+ vector, or a similar vector, and the sequence of the *xdh* gene is then obtained by conventional methods.

It is thus also an object of this invention to provide a specific xylitol dehydrogenase gene.

The *xdh* gene can be expressed in yeast from the chromosomal copy cloned into for instance the yeast cosmid p3030 (Penttilä *et al.*, 1984). To such a yeast carrying the *xdh* gene, the plasmid carrying the *xrd* gene can be transformed.

Also, the full length *xdh* cDNA can be cloned into a suitable expression vector, such as pMA91 or pKB102 (Blomqvist *et al.*, 1991) in between appropriate yeast regulatory regions, preferably using the yeast *PGK1* or *ADHI* promoter and terminator. The

expression cassette built into pKB102 can be released from the resulting plasmid and cloned into an autonomously replicating yeast multicopy vector or into a single copy yeast vector, which carry a suitable marker, eg. *URA3* or *HIS3* for yeast transformation. These resulting plasmids can then be transformed into a suitable host strain or  
5 into the strains carrying the gene encoding XR.

The *xdh* gene can also be integrated into the yeast genome in the same manner as described above for the *xrd* gene.

- 10 Thus, a further object of this invention is to provide a method for constructing new yeast strains capable of expressing xylose reductase or xylitol dehydrogenase or coexpressing xylose reductase and xylitol dehydrogenase, which method comprises:
- (a) isolating the DNA sequences coding for xylose reductase and xylitol dehydrogenase from a suitable donor organism;
  - 15 (b) constructing a yeast vector carrying either of the said DNA sequences; and
  - (c) transforming either of the vectors obtained or both of them into a suitable yeast host.

The present invention thus provides a process for coexpressing active xylose reductase and xylitol dehydrogenase in a yeast strain, which process comprises:

20

- (a) isolating the DNA sequences coding for xylose reductase and xylitol dehydrogenase from a suitable donor organism;
- (b) constructing yeast vectors each carrying one of said DNA sequences;
- (c) transforming the vectors obtained to a suitable host to obtain a recombinant  
25 yeast strain;
- (d) cultivating said recombinant yeast strain in a xylose containing medium; and
- (e) isolating and purifying the products (ethanol, xylitol, acetic acid) formed in the medium.

30 The recombinant yeast strains according to this invention coexpressing xylose reductase and xylitol dehydrogenase enzymes are potent ethanol producers from xylose by fermenting the xylose containing fraction in for instance lignocellulosic hydrolyzates such as by-products from the forest products industry, eg. spent sulphite liquor, or in raw materials which have been obtained by pretreatment to make the

xylose fraction available for fermentation by treatment at elevated temperatures in the presence or absence of chemicals such as sulphur dioxide and in combination with acid or enzymatic hydrolysis. The consumption of xylose and the formation of products (ethanol, xylitol, acetic acid etc.) are analysed for instance by HPLC (Hahn-  
5 Hågerdal *et al.*, 1986; Lindén and Hahn-Hågerdal, 1989a, b).

**Example 1:****Purification of xylose reductase from *Pichia stipitis***

5 *P. stipitis* was grown in a 1 litre fermentor in xylose containing medium (0.3 % yeast extract, 0.3 % malt extract, 0.5 % peptone, 1.9 %  $\text{KH}_2\text{PO}_4$ , 0.3 %  $(\text{NH}_4)_2\text{HPO}_4$ , 0.1 %  $\text{MgSO}_4$  and 5 % xylose, pH 5) and harvested in late logarithmic growth phase. 30 g wet weight of cell paste was disrupted using freeze pressing (X-press) and centrifuged 1500 g, 10 min to get a cell free extract.

10 The crude extract was concentrated 2-fold and applied to a Sephadex G-200 (Pharmacia, Uppsala, Sweden) column (137 ml). At a flow rate of 6 ml/h the proteins were eluted and fractions (9 ml) containing XR activity were pooled.

15 The pooled fraction was applied to a DEAE-Sephacrose (Pharmacia) column (37 ml) equilibrated with 0.02M ammonium phosphate buffer pH 6.0 and eluted with a 250 ml gradient of 0-0.5 M NaCl in 0.02 M ammonium phosphate buffer at a flow rate of 12 ml/min. Fractions containing XR activity were pooled (6 ml) and concentrated to 1 ml. 1 ml of the concentrated sample was applied to a 1 ml HPLAC column (cibacron blue F36-A; Perstorp Biolytica, Lund, Sweden) equilibrated with 0.02 M  
20 ammonium phosphate buffer pH 6.0. Elution of XR was performed with a 0-2 M NaCl gradient in ammonium phosphate buffer at a flow rate of 1 ml/min. Fractions containing XR activity were pooled (6 ml) and dialysed over night at 4°C.

25 Purity and molecular weight was determined by gradient SDS-polyacrylamide gel (T 8.8-21.3 %, C 2.6 %) electrophoresis (Laemmli, 1970) and native gradient polyacrylamide gel (Pharmacia premade gel, 4/30) electrophoresis (Pharmacia vertical electrophoresis system). Low and high molecular weight standards from Pharmacia were used. Staining of gel was performed with 0.1 % Coomassie Blue R-250 (Sigma) in 25 % methanol and 10 % acetic acid. In SDS-PAGE and in native-  
30 PAGE gel the XR fraction after HPLAC appeared as a single band (data not shown). Specific staining of XR with the zymogram technique showed that the single band in the native-PAGE gel was XR (data not shown). Molecular weight estimation with SDS-PAGE (see Fig. 6) and native-PAGE showed a molecular weight of  $38000 \pm 1000$  for the subunits and  $76000 \pm 1000$  for the native protein.

The purified enzyme was used to produce polyclonal antibodies and to make a N-terminal amino acid sequence of the enzyme (Marc Bauman at Dept. of Medical Chemistry, Univ. of Helsinki) (SEQ ID NO. 1).

5

**Example 2:****Cloning of the gene coding for XR from *Pichia stipitis***

10 1 litre of *P. stipitis* culture was grown in xylose containing medium (see example 1) and harvested in late log phase. Harvested cells were converted to sphaeroplasts with Zymolyase, suspended into 60 ml of GuSCN solution and RNA was isolated according to Chirgwin *et al.* (1979). RNA was then run through an oligo(dT) cellulose affinity chromatography column. Poly (A+)mRNA was eluted by decreasing the ionic strength of the elution buffer. cDNA was synthesized from mRNA using the cDNA synthesis kit of Amersham and cloned into the  $\lambda$  gt11 vector using the Amersham cDNA cloning kit. After 3–4 h growth, the plaques were replica plated onto nitrocellulose membranes soaked in IPTG, and incubated over night. The membranes were then used for immuno screening of transformants (Young and Davies, 1983) using 20 rabbit antiserum against XR and goat anti rabbit antibodies coupled with alkaline phosphatase. Positive clones were picked from the plates and the insert DNA was amplified with PCR (Güssow and Clackson, 1989) using vector specific primers. The DNA fragments obtained were used for restriction enzyme analysis and for further cloning after BamHI cleavage into BamHI cleaved BS+ (Stratagene) vector. The 25 longest cDNA clone pJHXR20 was sequenced using the double stranded dideoxy nucleotide method (Zagursky *et al.*, 1986).

Verification of the cloning of the full length cDNA coding for XR was obtained by comparing the N-terminal amino acid sequence of the protein with the sequenced 30 gene (SEQ ID NO. 1, SEQ ID NO. 2).

The chromosomal copy of the *xrd* gene was obtained by PCR reaction of total chromosomal DNA isolated (Cryer *et al.*, 1979) from *P. stipitis* CBS-6054 (Prior *et al.*, 1989) using primers corresponding to the 5' (GCGGATCCTCTAGAATGCCTT-



CTATTAAGTTGAACTCTGG) and to the 3' (TTGGATCCTCTAGATTAGAC-GAAGATAGGAATCCTGTCCC) end of the coding region and carrying BamHI and XbaI restriction sites. The PCR product was digested with BamHI and cloned into plasmid pMA91 (Mellor *et al.*, 1983) at the BglII site to obtain plasmid pUA103 (Fig. 1). The DNA sequence of the chromosomal copy was compared to that of the cDNA in plasmid pJHXR20, and was shown to contain no introns.

### Example 3:

#### 10 Purification of XDH from *Pichia stipitis*

*Pichia stipitis* was grown in xylose containing medium as described (example 1). 30 g wet weight of cell paste was disrupted using freeze pressing (X-press) and centrifuged 1500 g 10 min. The centrifuged cellfree extract was concentrated 3 times and 5 ml was gel filtrated at a flow rate of 6 ml/h through a 137 ml Sepharose 6B column equilibrated with 0.05 M ammonium phosphate buffer pH 6, 25 % glycerol, 1 mM DTT, 1 mM EDTA. Fractions containing XDH activity measured according to Smiley and Bolen (1982) were pooled and applied on a 37 ml ion exchange chromatography column (DEAE-sepharose) equilibrated with 0.05 M ammonium phosphate buffer pH 6, 25 % glycerol, 1 mM DTT, 1 mM EDTA. XDH fractions were eluted with a 250 ml salt gradient of 0-0.5 M NaCl at a flow rate of 12 ml/min and pooled. The partially purified enzyme was run in a polyacrylamide gel and blotted onto a PVD membrane. The band corresponding to XDH was cut out and the N-terminal amino acid sequence was determined directly from the membrane.

25

### Example 4:

#### Cloning of the gene coding for XDH and expression in *S. cerevisiae*

30 The same  $\lambda$  gt11 cDNA library as obtained and described in Example 2 was plated and replica plated onto nitrocellulose membrane soaked in IPTG and incubated for 3 hours. The membranes were then used for specific XDH activity (zymogram) screening by soaking the membranes in 10 ml of zymogram solution (0.1 M phosphate buffer pH 7.0, 1.5 mM NAD, 0.25 mM nitroblue tetrazolium, 65  $\mu$ M phenazine

methosulphate, 0.4 M xylitol). Positive clones (Fig. 2) were picked from the plates and the insert DNA of two of the clones was amplified with PCR (Güssow and Clackson, 1989) using vector specific primers (5'-GGTGGCGACGACTCCTG-GAGCCCG, 5'-TTGACACCAGACCAACTGGTAATG). The DNA fragments  
5 obtained were of the same size and were used for restriction enzyme analysis to check non-cutting and cutting enzymes and for further cloning after BamHI cleavage into BamHI cleaved pSP72 vector (Promega). The plasmid pJHDXH50 carries the longest cDNA clone (Fig. 3).

10 To clone the chromosomal copy of the *xdh* gene, chromosomal DNA was isolated (Cryer *et al.*, 1979) from *P. stipitis* strain CBS-6054 (Prior *et al.*, 1989) and cut partially with Sau 3A. Fragments of 35-45 kb in size were purified by fractionating the partially digested DNA in a sucrose gradient (15 %-40 %), and the fragments  
15 The ligated molecules were packaged into  $\lambda$  particles using the *in vitro* packaging kit of Amersham Ltd. (UK) and transfected into *E. coli* HB101 (Maniatis *et al.*, 1982). Recombinant cosmid DNA was isolated from about 15000 pooled gene bank clones obtained and transformed into a *S. cerevisiae* strain S150-2B (*a*, *his3-dell*, *leu2-3*, *leu2-112*, *trpl-289*, *ura3-52*, *cir*<sup>+</sup>, *Gal*<sup>+</sup>) (Baldari *et al.*, 1987) by selecting for His<sup>+</sup>  
20 transformants on Sc-his plates. The gene bank was replica plated onto nitrocellulose filters, the cells were broken by incubating the filters for 5 min. in chloroform and the activity assay was performed as described above for the  $\lambda$  gt11 library. Several positive clones were obtained (strains H494, H495, H496, H497 and VTT-C-91181), and the strain VTT-C-91181 (Fig. 4) was used for further studies.

25 XDH activity of the strain VTT-C-91181 was tested according to Smiley and Bolen (1982) from French pressed total cell lysate containing 25 % glycerol. DNA from VTT-C-91181 was isolated and the plasmid pMW22 rescued by transformation of the DNA into *E. coli* DH5 $\alpha$ .

30 The *S. cerevisiae* strain VTT-C-91181 carrying the plasmid pMW22 was deposited according to the Budapest Treaty with the accession No. NCYC 2352 at the National Collection of Yeast Cultures (NCYC), UK, on March 29, 1991.

**Example 5:****Expression of XR in *S. cerevisiae***

5 The gene coding for XR, with the regulatory regions of the *PGK* gene, was released from the plasmid pUA103 (Example 2) with HindIII and cloned at the HindIII site of the single copy vector pRS305 (Sikorski and Hieter, 1989). The resulting plasmid pUA107 (Fig. 1), in which the XR encoding gene was in the right orientation towards the yeast *PGK* promoter, was transformed using the LiCl method (Ito *et al.*, 1983),  
10 and selecting for Leu<sup>+</sup> transformants, into *S. cerevisiae* strains S150-2B (see Example 4) and GPY55-15Bα (*leu2-3, leu2-112, ura3-52, trp1-289, his4-519, prb1, cir<sup>+</sup>*) giving the new recombinant strains *S. cerevisiae* H481 and *S. cerevisiae* H479, respectively. The plasmid pUA103 transformed into the strains S150-2B and GPY-15Bα resulted in strains H477 and H475, respectively.

15

The gene coding for XR was also integrated into the yeast chromosome into the ribosomal RNA loci. The ribosomal sequences of plasmid pIRL9 were released with EcoRI, blunt-ended and cloned at the blunt-ended XbaI site of BS+ to obtain vector pJHR21. The gene coding for XR, coupled in between the *PGK* promoter and terminator was released from the vector pUA103 as a HindIII fragment, blunt-ended  
20 and cloned at the blunt-ended XbaI site in the ribosomal sequences of the plasmid pJHR21. From this resulting plasmid pJHXR22 (Fig. 5), the expression cassette, flanked by ribosomal sequences, was released by cutting in the unique restriction sites of the BS+ polylinker. This fragment was cotransformed into yeast with an autonomously replicating plasmid carrying a marker for transformation. The transformants  
25 obtained were screened for the presence of the gene coding for XR by enzyme activity tests as described above and the integration pattern was checked by Southern analysis. The autonomously replicating plasmid was removed from the cells carrying the XR expression cassette by cultivating the cells in non-selective YPD growth  
30 medium.

The transformants were grown in minimal selective medium and the expression of XR was analyzed by Western blotting using XR specific antibody and the alkaline phosphatase method of Promega (Fig. 6), and by enzyme activity measurements

(Smiley and Bolen, 1982) from crude extracts of yeast cells broken by French press (Fig. 7).

5    **Example 6:**

Purification of XR from recombinant *S. cerevisiae*

A recombinant *S. cerevisiae* strain H477 was cultivated in a 1.5 l fermentor in a Sc-leu medium containing 20 g/l glucose. Growth was followed by turbidity measurements and the cells were collected at late exponential growth phase by centrifugation, washed and resuspended in 0.1 M phosphate buffer pH 7.0 containing 1.5 mM phenyl methyl sulfonyl fluoride, to a yeast concentration of 100 g dry weight/l. Cells were disrupted with 3 passes at 1000 bar through a high pressure homogenizer (French-press). The homogenate was partially clarified by 30 min centrifugation at 15 000 g. XR was purified from the clarified homogenate as described for *P. stipitis* in example 1.

**Example 7:**

20    Production of xylitol *in vitro*

A reaction mixture containing 0.33 M xylose, 0.33 M glucose-6-phosphate, 0.67 mM NADPH, 0.1 M phosphate buffer (pH 7.0), 1 nkat/ml XR activity of purified XR (Example 6) or from a diluted crude homogenate of the strain H475, and 1 nkat/ml glucose-6-phosphate dehydrogenase was incubated at 20°C for 5 h. Samples were withdrawn intermittently and analysed for xylitol using a xylitol kit from Boehringer. A constant xylitol production rate exceeding 0.14 g h<sup>-1</sup>l<sup>-1</sup> was observed.

30    **Example 8:**

Co-expression of XR and XDH

The plasmids pUA103 and pUA107 were transformed into the strain VTT-C-91181 which carries the *xdh* gene on the plasmid pMW22. Transformants were selected, and

also later kept, on Sc-leu-his-plates. The retainment of XDH activity was confirmed by a plate activity assay and the XR activity by enzyme activity measurement as described above. The two clones studied further, carrying the plasmids pUA103 and pMW22, were named H492 and H493.

5

The full length *xdh* cDNA from the plasmid pJHDXDH50 was cloned at the HindIII site in the vector pKB102 (Blomqvist *et al.* 1991) in between the yeast *ADHI* promoter and terminator. The expression cassette was released with BamHI from the resulting plasmid pJHDXDH60 (Fig. 8) and cloned into the autonomously replicating yeast vector p3030 at the BamHI site generating the plasmid pJHDXDH70. The resulting plasmid was transformed into the strain H477 carrying the gene encoding XR (Example 5) selecting the transformants on Sc-leu-his-plates. The expression of the *xdh* gene in *S. cerevisiae* was tested by enzyme activity measurement (Smiley and Bolen, 1982).

15

#### Example 9:

##### Production of xylitol *in vivo* by recombinant *S. cerevisiae*

The yeast strains H475 and H477 were cultivated in a 1.5 l fermentor in a medium containing 10 g/l yeast extract, 20 g/l bacto-peptone and 20 g/l glucose. Cultivation temperature was 30°C. pH was controlled between 4.5 and 8.0. Agitation speed was 400 rpm and aeration rate 0.5 vvm. When glucose was consumed according to the analysis of the samples from the broth, a feed of a solution containing 1 g glucose and 19 g xylose in 100 ml was started at a rate of 0.09 ml/min. After 83 hours of total cultivation time, xylitol concentration in the broth was 12.5 g/l as analysed by HPLC. Thus over 95 % yield of xylitol from xylose fed to the culture was achieved. By using the control strain carrying the vector pMA91 less than 8 % of the xylose was consumed in an analogous experiment.

30

**Example 10:****Xylose fermentation by recombinant *S. cerevisiae***

- 5    *S. cerevisiae* strains H492 and H493 described in example 9 were cultivated on a rotary shaker in Sc-leu-his medium containing 20 g/l glucose. Rotating speed was 200 rpm. When both the glucose and ethanol formed were consumed, the broths were used as inoculum for fermentation on a rotary shaker in Sc-leu-his medium containing 20 g/l xylose. Rotating speed was 90 rpm. The consumption of xylose and the
- 10    formation of ethanol and xylitol were followed during fermentation by taking samples and analysing them by HPLC (Hahn-Hägerdal *et al.*, 1986; Linden and Hahn-Hägerdal, 1989a, b).

15    **Example 11:**

**Fermentation of xylose containing raw materials with recombinant *S. cerevisiae***

- 20    *S. cerevisiae* strains H492 and H493 were cultivated as in example 10 in a fermentation medium, where xylose was replaced by spent sulphite liquor. Xylose was converted to cells, ethanol and xylitol.

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- 35

**Deposited microorganism**

- 5 The following yeast strain was deposited according to the Budapest Treaty at the National Collection of Yeast Cultures (NCYC), AFRC Institute of Food Research, Norwich Laboratory, Colney Lane, Norwich, NR4 7UA, UK

10	Strain	Deposition number	Deposition date
	<i>Saccharomyces cerevisiae</i> VTT-C-91181 carrying the plasmid pMW22	NCYC 2352	March 29, 1991

Sequence Listing**SEQ ID NO. 1**

5 **SEQUENCE TYPE:** Peptide

**SEQUENCE LENGTH:** 9 amino acids

**TOPOLOGY:** linear

**ORIGINAL SOURCE ORGANISM:** *Pichia stipitis* CBS-6054

10 **IMMEDIATE EXPERIMENTAL SOURCE:** protein purification and N-terminal  
sequencing

**FEATURES:** from 1 to 9 amino acids of the mature protein

15 **PROPERTIES:** N-terminal peptide of xylose reductase (NADPH/NADH) enzyme

**Pro Xaa Ile Lys Leu Asn Ser Gly Tyr**

**SEQ ID NO. 2****SEQUENCE TYPE:** Nucleotide with corresponding protein**SEQUENCE LENGTH:** 954 base pairs5 **STRANDEDNESS:** single**TOPOLOGY:** linear**MOLECULE TYPE:** cDNA to mRNA, genomic DNA**ORIGINAL SOURCE ORGANISM:** *Pichia stipitis* CBS-605410 **IMMEDIATE EXPERIMENTAL SOURCE:** cDNA library, PCR product from  
genomic DNA**FEATURES:** from 1 to 954 bp mature protein15 **PROPERTIES:** xylose reductase (NADPH/NADH) activity of the product

ATG CCT TCT ATT AAG TTG AAC TCT GGT TAC GAC ATG CCA GCC GTC	45
Met Pro Ser Ile Lys Leu Asn Ser Gly Tyr Asp Met Pro Ala Val	
1 5 10 15	
GGT TTC GGC TGT TGG AAA GTC GAC GTC GAC ACC TGT TCT GAA CAG	90
Gly Phe Gly Cys Trp Lys Val Asp Val Asp Thr Cys Ser Glu Gln	
20 25 30	
ATC TAC CGT GCT ATC AAG ACC GGT TAC AGA TTG TTC GAC GGT GCC	135
Ile Tyr Arg Ala Ile Lys Thr Gly Tyr Arg Leu Phe Asp Gly Ala	
35 40 45	
GAA GAT TAC GCC AAC GAA AAG TTA GTT GGT GCC GGT GTC AAG AAG	180
Glu Asp Tyr Ala Asn Glu Lys Leu Val Gly Ala Gly Val Lys Lys	
50 55 60	
GCC ATT GAC GAA GGT ATC GTC AAG CGT GAA GAC TTG TTC CTT ACC	225
Ala Ile Asp Glu Gly Ile Val Lys Arg Glu Asp Leu Phe Leu Thr	
65 70 75	
TCC AAG TTG TGG AAC AAC TAC CAC CAC CCA GAC AAC GTC GAA AAG	270
Ser Lys Leu Trp Asn Asn Tyr His His Pro Asp Asn Val Glu Lys	
80 85 90	
GCC TTG AAC AGA ACC CTT TCT GAC TTG CAA GTT GAC TAC GTT GAC	315
Ala Leu Asn Arg Thr Leu Ser Asp Leu Gln Val Asp Tyr Val Asp	
95 100 105	
TTG TTC TTG ATC CAC TTC CCA GTC ACC TTC AAG TTC GTT CCA TTA	360
Leu Phe Leu Ile His Phe Pro Val Thr Phe Lys Phe Val Pro Leu	
110 115 120	
GAA GAA AAG TAC CCA CCA GGA TTC TAC TGT GGT AAG GGT GAC AAC	405
Glu Glu Lys Tyr Pro Pro Gly Phe Tyr Cys Gly Lys Gly Asp Asn	
125 130 135	
TTC GAC TAC GAA GAT GTT CCA ATT TTA GAG ACC TGG AAG GCT CTT	450
Phe Asp Tyr Glu Asp Val Pro Ile Leu Glu Thr Trp Lys Ala Leu	
140 145 150	
GAA AAG TTG GTC AAG GCC GGT AAG ATC AGA TCT ATC GGT GTT TCT	495
Glu Lys Leu Val Lys Ala Gly Lys Ile Arg Ser Ile Gly Val Ser	
155 160 165	
AAC TTC CCA GGT GCT TTG CTC TTG GAC TTG TTG AGA GGT GCT ACC	540
Asn Phe Pro Gly Ala Leu Leu Leu Asp Leu Leu Arg Gly Ala Thr	
170 175 180	
ATC AAG CCA TCT GTC TTG CAA GTT GAA CAC CAC CCA TAC TTG CAA	585
Ile Lys Pro Ser Val Leu Gln Val Glu His His Pro Tyr Leu Gln	
185 190 195	
CAA CCA AGA TTG ATC GAA TTC GCT CAA TCC CGT GGT ATT GCT GTC	630
Gln Pro Arg Leu Ile Glu Phe Ala Gln Ser Arg Gly Ile Ala Val	
200 205 210	
ACC GCT TAC TCT TCG TTC GGT CCT CAA TCT TTC GTT GAA TTG AAC	675
Thr Ala Tyr Ser Ser Phe Gly Pro Gln Ser Phe Val Glu Leu Asn	
215 220 225	
CAA GGT AGA GCT TTG AAC ACT TCT CCA TTG TTC GAG AAC GAA ACT	720
Gln Gly Arg Ala Leu Asn Thr Ser Pro Leu Phe Glu Asn Glu Thr	
230 235 240	
ATC AAG GCT ATC GCT GCT AAG CAC GGT AAG TCT CCA GCT CAA GTC	765
Ile Lys Ala Ile Ala Ala Lys His Gly Lys Ser Pro Ala Gln Val	
245 250 255	
TTG TTG AGA TGG TCT TCC CAA AGA GGC ATT GCC ATC ATT CCA AAG	810
Leu Leu Arg Trp Ser Ser Gln Arg Gly Ile Ala Ile Ile Pro Lys	
260 265 270	
TCC AAC ACT GTC CCA AGA TTG TTG GAA AAC AAG GAC GTC AAC AGC	855
Ser Asn Thr Val Pro Arg Leu Leu Glu Asn Lys Asp Val Asn Ser	
275 280 285	
TTC GAC TTG GAC GAA CAA GAT TTC GCT GAC ATT GCC AAG TTG GAC	900
Phe Asp Leu Asp Glu Gln Asp Phe Ala Asp Ile Ala Lys Leu Asp	
290 295 300	
ATC AAC TTG AGA TTC AAC GAC CCA TGG GAC TGG GAC AAG ATT CCT	945
Ile Asn Leu Arg Phe Asn Asp Pro Trp Asp Trp Asp Lys Ile Pro	
305 310 315	
ATC TTC GTC	954
Ile Phe Val	

## WE CLAIM:

1. A DNA sequence coding for xylose reductase enzyme which DNA sequence when  
5 transformed into a yeast strain renders said strain capable of reducing xylose to xylitol.
2. A DNA sequence according to claim 1 coding for a polypeptide comprising essentially the amino acid sequence given in SEQ ID NO. 2, or a fragment thereof  
10 wherein the said polypeptide or fragment displays xylose reductase activity.
3. A DNA sequence coding for xylitol dehydrogenase enzyme which DNA sequence when transformed into a yeast strain renders said strain capable of utilizing xylitol.
- 15 4. A yeast vector comprising a DNA sequence according to claim 1.
5. A yeast vector comprising a DNA sequence according to claim 3.
6. A yeast vector according to claim 4 or 5, which vector is capable of replicating  
20 autonomously when transformed into a yeast strain.
7. A yeast vector according to claim 4 or 5, which vector is capable of integrating to the yeast chromosome when transformed into a yeast strain.
- 25 8. A yeast expression vector according to claim 4 or 5, wherein the said DNA sequence is expressed under yeast gene regulatory regions.
9. A yeast expression vector according to claim 8, wherein the said yeast gene regulatory regions are selected from the group consisting of the promoter regions of  
30 the xylose reductase gene, xylitol dehydrogenase gene, the yeast alcohol dehydrogenase gene *ADH1* and the yeast phosphoglycerate kinase gene *PGK1* and functional parts thereof.

10. A yeast vector according to claim 4 selected from the group consisting of pUA103, pUA107 and pJHXR22.
- 5 11. A yeast vector according to claim 5 selected from the group consisting of pMW22, pJHDXDH60 and pJHDXDH70.
12. A recombinant yeast strain carrying a DNA sequence according to claim 1 and expressing xylose reductase.
- 10 13. A recombinant yeast strain according to claim 12 being selected from the group consisting of *Saccharomyces cerevisiae* H475, H477, H479 and H481.
14. A recombinant yeast strain carrying a DNA sequence according to claim 3 and
- 15 expressing xylitol dehydrogenase.
15. A recombinant yeast strain according to claim 14 being *Saccharomyces cerevisiae* VTT-C-91181, H494, H495, H496 and H497.
- 20 16. A recombinant yeast strain carrying DNA sequences according to claims 1 and 3 and expressing xylose reductase and xylitol dehydrogenase.
17. A recombinant yeast strain according to claim 16 being selected from the group consisting of *Saccharomyces cerevisiae* H492 and H493.
- 25 18. A method for constructing new yeast strains capable of expressing xylose reductase or xylitol dehydrogenase, or coexpressing xylose reductase and xylitol dehydrogenase, which method comprises:
- 30 (a) isolating the DNA sequences coding for xylose reductase and xylitol dehydrogenase from a suitable donor organism;
- (b) constructing a yeast vector carrying either of the said DNA sequences; and
- (c) transforming either of the vectors obtained or both of them to a suitable yeast host.

19. A method according to claim 18, wherein the host to be transformed is selected from the group consisting of

- 5           *Saccharomyces cerevisiae*,  
          *Kluyveromyces* spp.  
          *Schizosaccharomyces pombe* and  
          *Pichia* spp.

20. A method according to claim 19, wherein said host belongs to the species  
10   *Saccharomyces cerevisiae*.

21. A process for producing xylose reductase enzyme, which process comprises:

- (a) isolating the DNA sequence coding for xylose reductase from a suitable donor organism;  
15       (b) constructing a yeast vector carrying said DNA sequence;  
          (c) transforming the vector obtained to a suitable yeast host to obtain a recombinant host strain;  
          (d) cultivating said recombinant host strain under conditions permitting expression of said xylose reductase; and  
20       (e) recovering said xylose reductase.

22. Xylose reductase enzyme produced by the process according to claim 21.

23. An enzymatic process for producing xylitol, which process comprises:

- 25       (a) using XR produced according to claim 21 in a reactor with a cofactor regenerating system,  
          (b) isolating and purifying xylitol produced.

24. A microbiological process for producing xylitol which process comprises:

- 30       (a) cultivating a recombinant yeast strain according to claim 12 or claim 16 in xylose containing medium; and  
          (b) recovering the xylitol formed from the medium.



25. A process according to claim 24, wherein an additional carbon source is added to the medium to enhance regeneration of the cofactor needed by the xylose reductase.

5 26. Xylitol produced by the process according to any one of the claims 23 to 25.

27. A process for coexpressing active xylose reductase and xylitol dehydrogenase in a yeast strain, which process comprises:

- 10 (a) isolating the DNA sequences coding for xylose reductase and xylitol dehydrogenase from a suitable donor organism;
- (b) constructing yeast vectors each carrying one of said DNA sequences;
- (c) transforming the vectors obtained to a suitable yeast host to obtain a recombinant yeast strain;
- 15 (d) cultivating said recombinant yeast strain in a medium permitting the expression of the genes or in xylose containing medium; and
- (e) isolating and purifying the products formed in the medium.

28. A process according to claim 27, wherein the product obtained is ethanol.

20 29. Ethanol produced by the process according to claim 28.

1/8

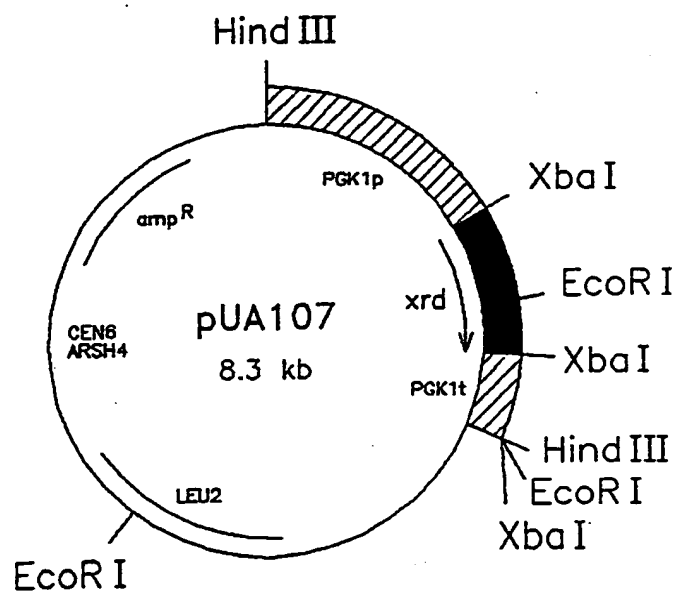
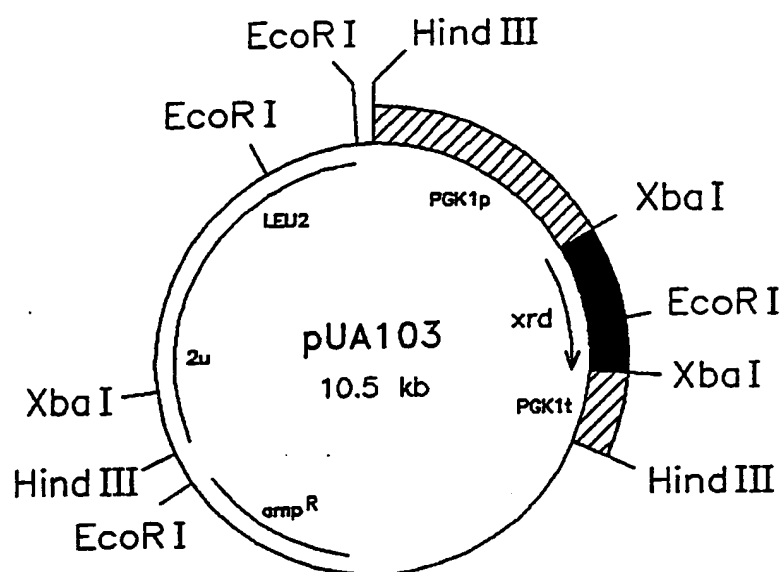


Fig. 1

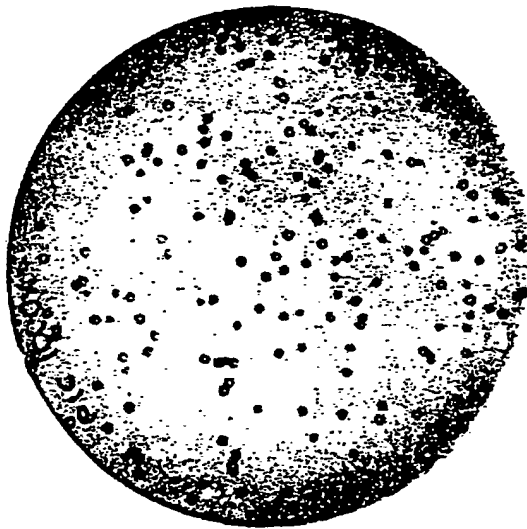


Fig. 2

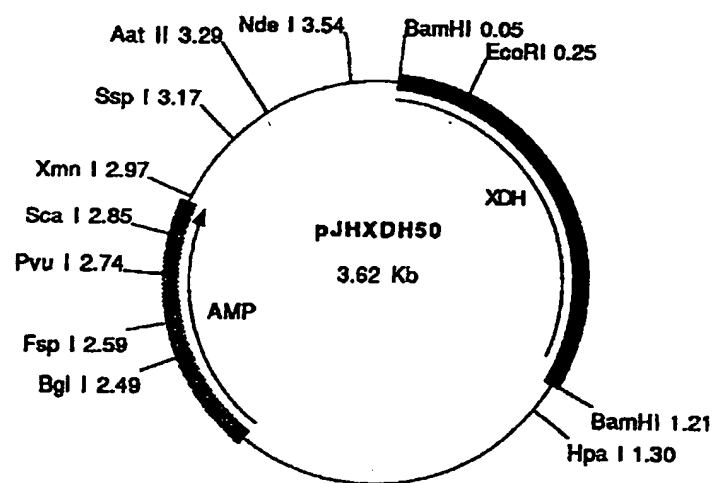
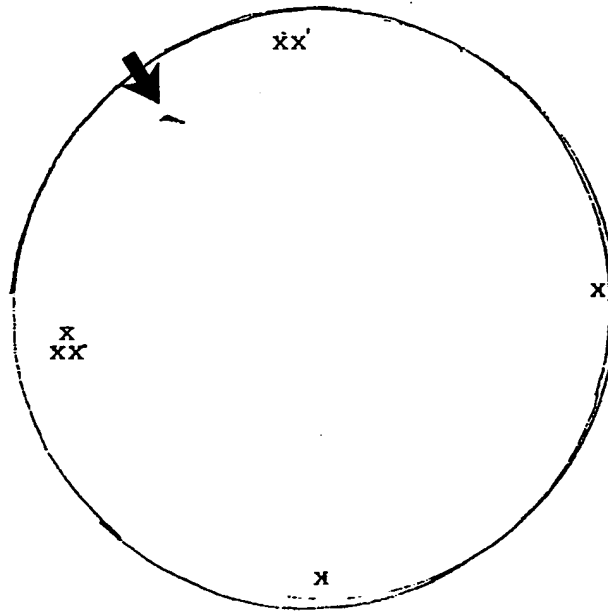


Fig. 3

4/8

A



B

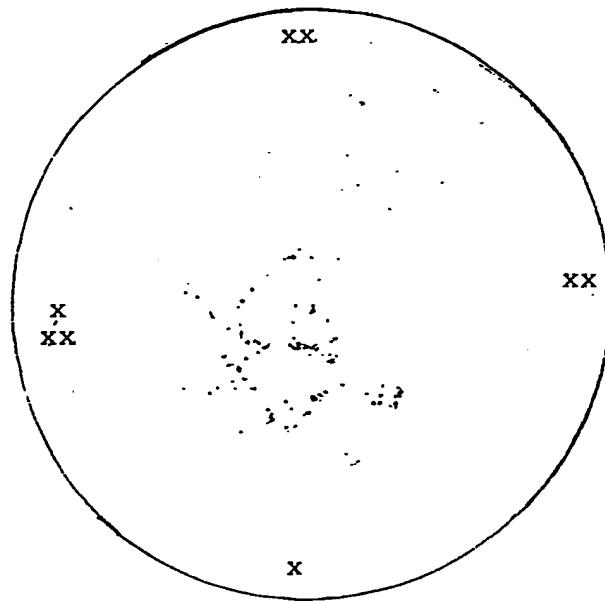
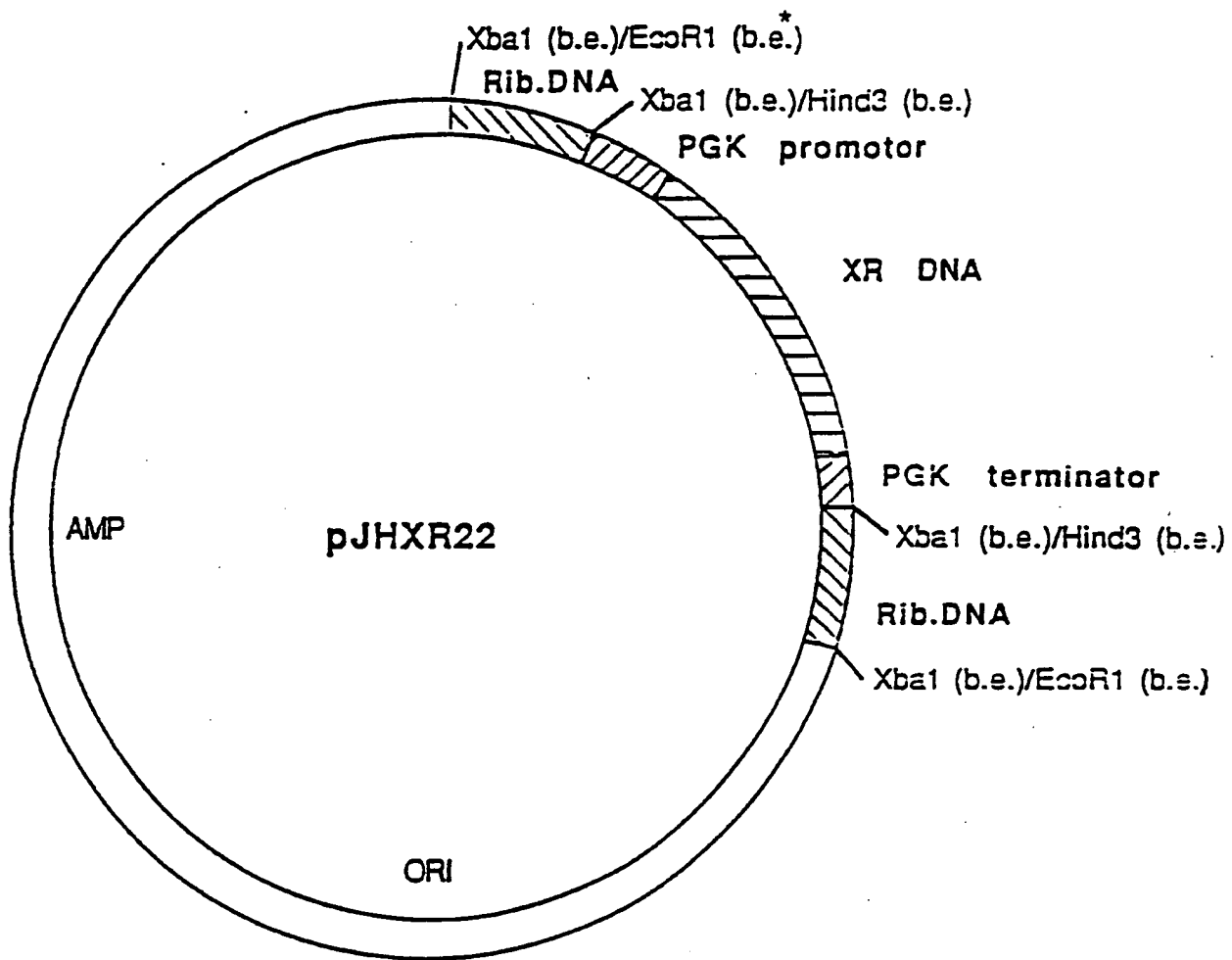


Fig. 4



\*) b.e. = blunt ended

Fig. 5

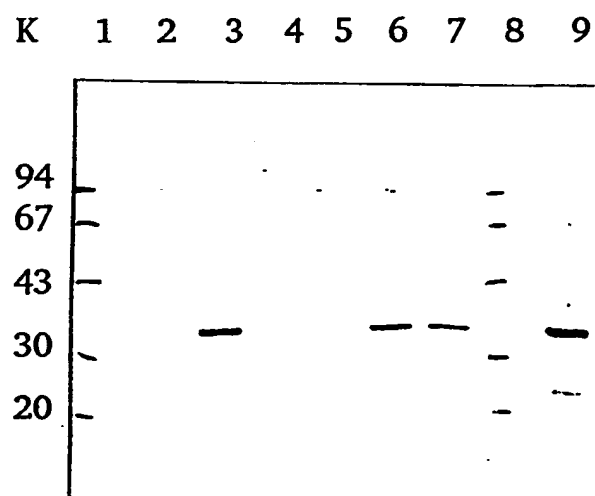
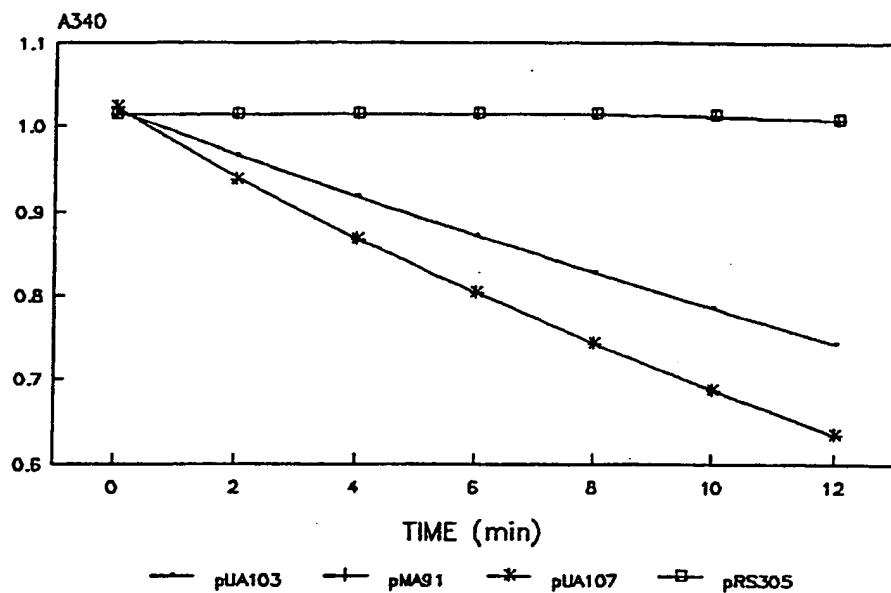


Fig. 6

## XR activity with NADPH



## XR activity with NADH

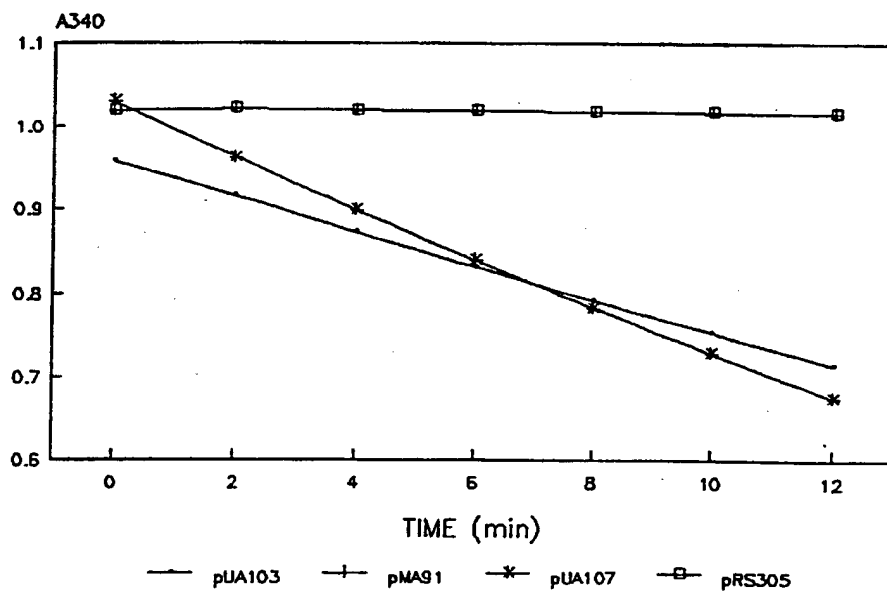


Fig. 7



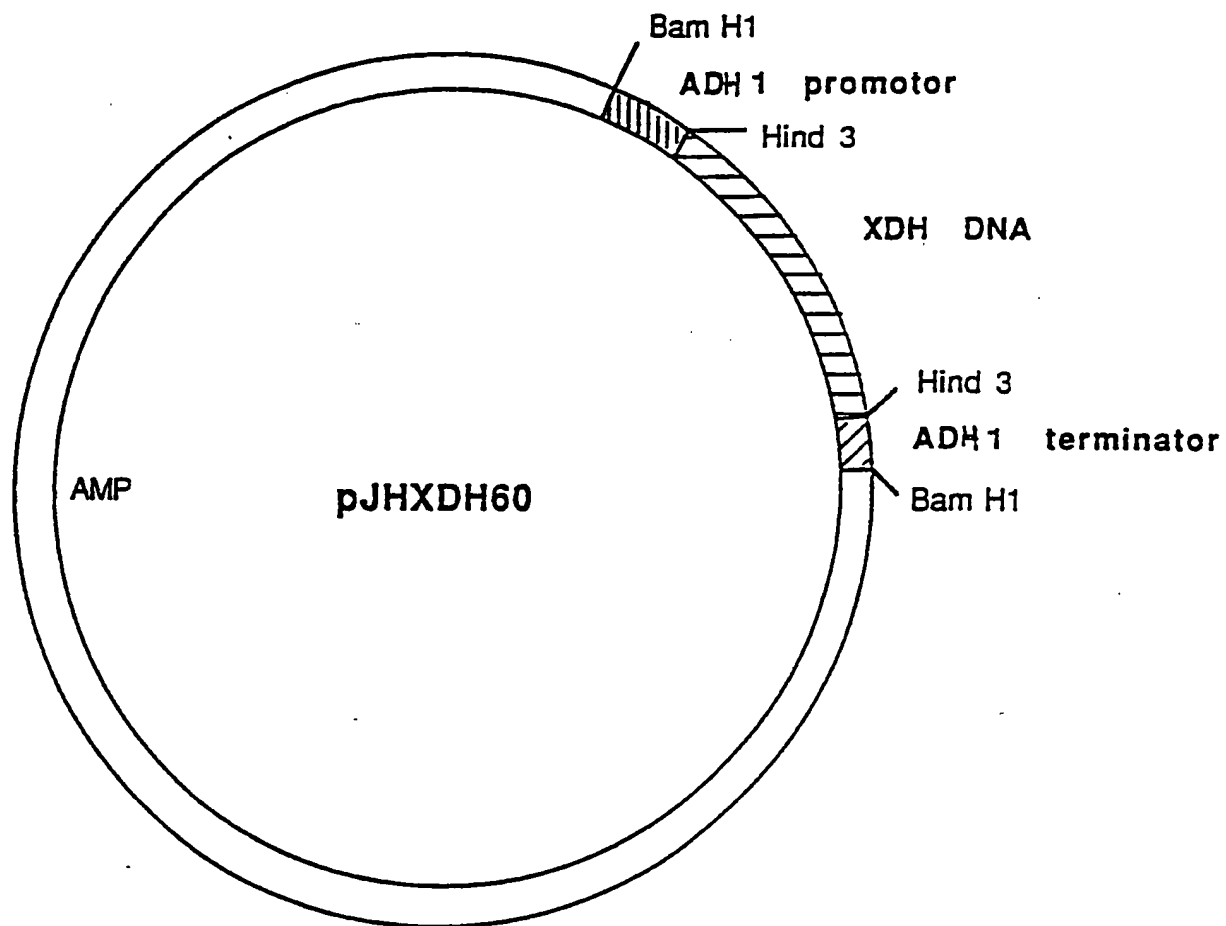


Fig. 8

# INTERNATIONAL SEARCH REPORT

International Application No PCT/FI 91/00103

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <b>IPC5: C 12 N 15/53, 9/04</b>						
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched<sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="height: 40px; vertical-align: bottom;">IPC5</td> <td style="vertical-align: bottom;">C 12 N</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in Fields Searched<sup>8</sup></div>			Classification System	Classification Symbols	IPC5	C 12 N
Classification System	Classification Symbols					
IPC5	C 12 N					
SE,DK,FI,NO classes as above						
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>						
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>				
P,X	CURR GENET, Vol. 18, September 1990 Peter Kötter et al.: "Isolation and characterization of the Pichia stipitis xylitol dehydrogenase gene, XYL2, and construction of a xylose-utilizing Saccharomyces cerevisiae transformant", see page 493 - page 500 --	3,5-6, 14,17-20,27-29				
P,X	APPL. BIOCHEMISTRY AND BIOTECHNOLOGY, Vol. 26, No. 2, 1990 Vina W. Yang et al.: "Purification and Properties of Xylitol Dehydrogenase from the Xylose-Fermenting Yeast Candida shehatae", see page 197 - page 206 see specially the abstract and the discussion --	3,5,11, 18-19				
X	JOURNAL OF FERMENTATIONS AND BIOENGINEERING, Vol. 67, No. 1, 1989 Manfred Rizzi et al.: "Purification and Properties of the NAD-Xylitol-Dehydrogenase from the Yeast Pichia stipitis", see page 20 - page 24 see the abstract --	3,5,11, 14,16, 18-19				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> * Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>						
<b>IV. CERTIFICATION</b>						
Date of the Actual Completion of the International Search  <b>6th September 1991</b>	Date of Mailing of this International Search Report  <b>1991 -09- 16</b>					
International Searching Authority  <div style="text-align: center;"><b>SWEDISH PATENT OFFICE</b></div>	Signature of Authorized Officer Yvonne Siösteen					

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
X	CURR GENET, Vol. 16, 1989 Jutta Hagedorn and Michael Ciriacy: "Isolation and characterization of xyl mutants in a xylose-utilizing yeast, <i>Pichia stipitis</i> ", see page 27 - page 33 see specially page 32, column 2	3,5,14, 16,18- 19
A	--	1-2,4,6- 10,12- 13,16- 29
A	PROCESS BIOCHEMISTRY, 1989 Bernard Alexander Prior et al: "Fermentation of D-xylose by the Yeasts <i>Candida shehatae</i> and <i>Pichia Stipitis</i> Prospects and Problems", see page 21 - page 32 see specially page 24	1-29
X	ENZYME MICROB. TECHNOL., Vol. 12, January 1990 N.W.Y. Ho et al: "Purification, characterization, and amino: "terminal sequence of xylose reductase from <i>Candida shehatae</i> ", see page 33 - page 39 see especially page 35-36, Table 2 page 37, Fig. 5 page 38	1-2,4,6- 10,12- 13,16- 29
X	APPL. MICROBIOL. BIOTECHNOL., Vol. 29, 1988 Manfred Rizzi et al: "Xylose fermentation by yeasts", see page 148 - page 154 see especially discussion page 153, column 2	1-2,4,6- 10,12- 13,16- 29
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## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers....., because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claim numbers....., because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers....., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☒ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

See the attached sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims. It is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.

The subjects, as listed below, are so different from each other that no technical relationship can be appreciated to be present so as to form a single general inventive concept.

Invention A (claims 1-2, 4, 10, 12-13, 21-23 and partly claims 6-9, 16-20 and 24-29) describe a defined DNA sequence coding for xylose reductase enzyme, which enzyme when transformed into a yeast strain renders said strain capable of reducing xylose to xylitol, a yeast vector comprising the DNA sequence, a recombinant yeast strain carrying the DNA sequence, a method for constructing the new yeast strain, a process for producing the enzyme and an enzymatic process for producing xylitol.

Invention B (claims 3, 5, 11, 14-15 and partly claims 6-9, 16-20 and 24-29) describe an undefined DNA sequence coding for xylitol dehydrogenase enzyme, which enzyme when transformed into a yeast strain renders said strain capable of utilizing xylitol, a yeast vector comprising the DNA sequence and a recombinant yeast strain carrying the DNA sequence.

Accordingly, no search was performed for claims 2-3, 5, 11, 14-15 and only a partial search was performed for claims 6-9, 16-20 and 24-29.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.PCT/FI 91/00103**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the Swedish Patent Office EDP file on **91-07-31**  
The Swedish Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date

International Application No: PCT/

**MICROORGANISMS**Optional Sheet in connection with the microorganism referred to on page 16, line 31 of the description <sup>1</sup>**A. IDENTIFICATION OF DEPOSIT <sup>2</sup>**Further deposits are identified on an additional sheet ☐ <sup>3</sup>Name of depositary institution <sup>4</sup>

National Collection of Yeast Cultures (NCYC), AFRC Institute of Food Research

Address of depositary institution (including postal code and country) <sup>4</sup>

Colney Lane, Norwich, NR4 7UA, United Kingdom

Date of deposit <sup>5</sup>

March 29, 1991

Accession Number <sup>6</sup>

NCYC 2352

**B. ADDITIONAL INDICATIONS <sup>7</sup>** (leave blank if not applicable). This information is continued on a separate attached sheet ☐

In respect of those designations in which a European patent or a patent in Finland or Norway is sought, a sample of the deposited microorganism will be made available until the publication of the mention of the grant of the European patent or the corresponding information concerning the patent in Finland or Norway or until the date on which the application has been refused or withdrawn or is deemed to be withdrawn, only by the issue of such a sample to an expert nominated by the person requesting the sample (Rule 28(4) EPC and the corresponding regulations in Finland and Norway).

**C. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE <sup>8</sup>** (if the indications are not for all designated States)**D. SEPARATE FURNISHING OF INDICATIONS <sup>9</sup>** (leave blank if not applicable).

The indications listed below will be submitted to the International Bureau later <sup>9</sup> (Specify the general nature of the indications e.g., "Accession Number of Deposit")

E. ☐ This sheet was received with the international application when filed (to be checked by the receiving Office)
  
 (Authorized Officer)
☐ The date of receipt (from the applicant) by the International Bureau <sup>10</sup>

was

(Authorized Officer)